

03/17/01  
JC962 U.S. PTO

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No. ONX-108/CIP Total Pages

First Named Inventor  
BEHRANG BEHINTitle  
THREE DIMENSIONAL OPTICAL SWITCHES AND BEAM  
STEERING MODULES09/8/2006 PTO  
03/17/01

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
1. <input type="checkbox"/> Fee Transmittal Form		9. <input type="checkbox"/> Assignment cover sheet and document(s)
2. <input checked="" type="checkbox"/> Applicant Claims Small Entity Status		10. <input type="checkbox"/> Power of Attorney by Assignee [ ] with CFR 3.73(b) statement
3. <input checked="" type="checkbox"/> Specification	Total Pages: [ 53 ]	11. <input type="checkbox"/> English Translation Document
4. <input checked="" type="checkbox"/> Drawing(s)	Total Sheets: [ 26 ]	12. <input type="checkbox"/> IDS/PTO-1449 [ ] with copies of cited references
5. <input type="checkbox"/> Oath or Declaration	Total Pages: [ ]	13. <input type="checkbox"/> Preliminary Amendment
a. <input type="checkbox"/> Newly executed (original or copy)		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
b. <input type="checkbox"/> Copy from a prior application 1.63(d) (complete Box 17 and note Box 5 below)		15. <input type="checkbox"/> Small Entity Statement [ ] Statement filed in prior application Status still proper and desired
i. <input type="checkbox"/> Signed statement deleting inventors named in the prior application, see CFR 1.63(d)(2) and 1.33(b)		16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
6. <input type="checkbox"/> Incorporation by Reference (if 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference.		17. <input checked="" type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
7. <input type="checkbox"/> Microfiche Computer Program (Appendix)		18. <input type="checkbox"/> Other:
8. <input type="checkbox"/> Nucleotide/Amino Acid Sequence Submission (all the following are necessary)		
a. <input type="checkbox"/> Computer Readable Copy		
b. <input type="checkbox"/> Paper Copy (identical to computer copy)		
c. <input type="checkbox"/> Statement verifying identity of above copies		
19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input checked="" type="checkbox"/> CIP    ...of prior application No.: [ 09/536,164 ]		

## 20. CORRESPONDENCE ADDRESS

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## Certificate of Mailing by "Express Mail"

I hereby certify that I am mailing this correspondence on the date indicated below to the ASSISTANT  
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Boyan Li  
SIGNATURE  
Boyan Li  
NAME OF PERSON SIGNING

DATE OF MAILING: 3/17/2001

EXPRESS MAIL LABEL NO: ET 102224065 US

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	<p>Attorney Docket No.: <b>ONX-108/CIP</b></p> <p>First Named Inventor <b>BEHRANG BEHIN</b></p> <p>Title <b>THREE DIMENSIONAL OPTICAL SWITCHES AND BEAM STEERING MODULES</b></p>
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I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date	Signature
3/17/2001	
	Joshua D. Isenberg
	Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**